

STATE OF KANSAS



KANSAS GOVERNMENTAL ETHICS COMMISSION

ELECTRONIC STATEMENT OF SUBSTANTIAL INTERESTS FORM

**INSTRUCTIONS:** This statement must be completed by individuals who are required to do so by law. Any individual who intentionally fails to file as required by law, or intentionally files a false statement, is subject to prosecution for a class B misdemeanor.

Please read the "Guide" and "Definition" section provided with this form for additional assistance in completing sections "C" through "G". If you have questions or wish assistance, please contact the Commission office at 109 West 9th, Topeka, KS or call 785-296-4219.

**A. IDENTIFICATION:**

|   |            |                       |
|---|------------|-----------------------|
| Francisco   | Marcia     | A                     |
| Last Name   | First Name | MI                    |
| Joe R Bickford  |            |                       |
| Spouse's Name   |            |                       |
| 1101 Ohio   |            |                       |
| Number & Street Name, Apartment Number, Rural Route, or P.O. Box Number |            |                       |
| Lawrence, KS 66044 - 3223   |            |                       |
| City, State, Zip Code   |            |                       |
| (785) 842-6402  |            | (785) 296-7364        |
| Home Phone Number   |            | Business Phone Number |

**B. THIS FORM IS REQUIRED TO BE FILED BECAUSE YOU ARE:**

*( check one or more of the following )*

- 1. State Elected Official (Governor, Lt. Governor, Attorney General, Commissioner of Insurance, State Treasurer, Secretary of State, State Senator, State Representative, Member of State Board of Education or District Attorney);
- 2. Appointed Member of a State Board, Council, Commission or Authority;
- 3. Appointed State Position is Subject to Senate Confirmation;
- 4. Employee of a State Agency or University;
- 5. General Counsel for a State Agency;
- 6. Candidate for State Office.
- 7. Other (Contractor / Member of Compact)

**Kansas State Senate, University of Kansas**

List the Name of Agency, Board, University or Elected Position (You may use abbreviations but not acronyms)

**Senator, Analyst**

Division if applicable (May use acronyms)

Position

**\* The last four digits of your social security number will aid in identifying you from others with the same name on the computer list. This information is optional. \***

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**C. OWNERSHIP INTERESTS:** List any corporation, partnership, proprietorship, trust, joint venture and every other business interest, including land used for income, and specific stocks, mutual funds or retirement accounts in which either you or your spouse has owned within the preceding 12 months a legal or equitable interest exceeding \$5,000 or 5%, whichever is less. If you or your spouse own more than 5% of a business, you must disclose the percentage held. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "C", check here

| BUSINESS NAME AND ADDRESS |   | TYPE OF BUSINESS          | DESCRIPTION OF INTERESTS HELD   | PERCENT OF OWNERSHIP INTERESTS | HELD BY WHOM |
|---------------------------|---|---------------------------|---|--------------------------------|--------------|
| 1.                        | First City, L.L.C.<br>1101 Ohio, Lawrence, KS 66044                               | Rental Real Estate        | Single-family residences at 727 New York and 938 Rhode Island, Lawrence, Kansas                                   | 100%                           | both         |
| 2.                        | Ten-Forty-Two Partnership<br>1041 Tennessee, Lawrence, KS 66044                   | Rental Real Estate        | Four-plex at 1042 Ohio, Lawrence, Kansas  | 33.4%                          | both         |
| 3.                        | Rhode Island Watch, L.L.C.<br>1636 Learnard Ave., Lawrence, KS 66044              | Rental Real Estate        | Four-plex at 740 Rhode Island, Lawrence, Kansas   | 50%                            | both         |
| 4.                        | individual rental properties<br>1101 Ohio, Lawrence, KS 66044                     | Rental Real Estate        | 1100 New York, 1046 Ohio, 1229 Pennsylvania, 738 Rhode Island, 1124 Rhode Island, and the lot at 744 Rhode Island | 100%                           | both         |
| 5.                        | Penn Street Line, L.L.C.<br>933 Pennsylvania, Lawrence, KS 66044                  | Rental Real Estate        | 901 Pennsylvania  | 10%                            | both         |
| 6.                        | Pepsico, Inc.<br>co The Bank of New York, P.O. Box 11258, New York, NY 1028601258 | Consumer Products Company | 250 shares of stock   | 0%                             | both         |
| 7.                        | TIAA -CREF<br>730 Third Avenue, New York, NY 10017-3206                           | Retirement Plan           | TIAA Traditional, CREF Bond Market, CREF Global Equities, CREF Growth, CREF Social Choice, CREF Stock             | 0%                             | self         |
| 8.                        | MetLife Investors   | Retirement Plan           | Fixed Interest Account  | 0%                             | self         |

|     |   |                                   |  |     |        |
|-----|---|-----------------------------------|--|-----|--------|
|     | P.O. Box 990079,<br>Hartford. CT 06199-0079                                     |                                   |  |     |        |
| 9.  | ING<br>P.O. Box 990067,<br>Hartford, CT 06199-0067                              | Retirement Plan                   | Vanguard Trgt Retire 2015, Vanguard Trgt Retire Income Fund  | 0%  | self   |
| 10. | Allianz Life Insurance Company<br>P.O. Box 1122,<br>Southeastern, PA 19398-1122 | Deferred Variable Annuity         | AZL MS Mid Cap Growth, AZL VK Equity and Income, AZL Morgan Stanley Int Equity, AZL Eaton Vance Lg Cap                             | 0%  | self   |
| 11. | Sears Holdings Corporation<br>P.O. Box 56287,<br>Jacksonville, FL 32241-6287    | Retirement Plan - 401 (k) Savings | International Equity, SSgA Target Retirement 2020, Stable Value, Large Growth Equity, Small-Mid Value Equity, S&P 500 Equity Index | 0%  | spouse |
| 12. | Pines International Inc<br>1992 E 1400 Rd.,<br>Lawrence, KS 66044               | Stock                             | 1 share  | 50% | self   |
| 13. | Community Mercantile<br>901 Iowa Street,<br>Lawrence, KS 66044                  | Stock                             | 2 shares   | 0%  | both   |

**D. GIFTS OR HONORARIA:** List any person or business from whom you or your spouse either individually or collectively, have received gifts or honoraria having an aggregate value of \$500 or more in the preceding 12 months.

If you have nothing to report in Section "D", check here

|    | NAME OF PERSON OR BUSINESS FROM WHOM GIFT RECEIVED | ADDRESS                                 | RECEIVED BY |
|----|--|---|-------------|
| 1. | Jean K. Francisco                                  | 8121 Fontana, Shawnee Mission, KS 66208 | both        |

**E. RECEIPT OF COMPENSATION:** (Part 1) List all places of employment in the last calendar year, and any other businesses from which you or your spouse received \$2,000 or more in compensation (salary, thing of value, or economic benefit conferred on in return for services rendered, or to be rendered), which was reportable as taxable income on your federal income tax returns.

1. YOUR PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR. IF SAME AS SECTION "B", CHECK HERE

If you have nothing to report in Section "E"1, check here

|    | NAME OF BUSINESS | ADDRESS | TYPE OF BUSINESS |
|----|------------------|---------|------------------|
| 1. |                  |         |                  |

2. SPOUSE'S PLACE(S) OF EMPLOYMENT OR OTHER BUSINESS IN THE PRECEDING CALENDAR YEAR.

If you have nothing to report in Section "E"2, check here

|    | NAME OF BUSINESS           | ADDRESS                              | TYPE OF BUSINESS    |
|----|----------------------------|--------------------------------------|---------------------|
| 1. | K-Mart Distribution Center | 2400 Kresge Road, Lawrence, KS 66049 | Distribution Center |

**F. OFFICER OR DIRECTOR OF AN ORGANIZATION OR BUSINESS:** List any organization or business in which you or your spouse hold a position of officer, director, associate, partner or proprietor at the time of filing, irrespective of the amount of compensation received for holding such position. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "F", check here

|    | BUSINESS NAME AND ADDRESS  | POSITION HELD                           | HELD BY WHOM |
|----|--|---|--------------|
| 1. | Housing and Credit Counseling, Inc.<br>1195 S.W. Buchanan, No. 101, Topeka, KS 66604   | Member of the Lawrence Advisory Council | self         |
| 2. | Lawrence Preservation Alliance<br>P.O. Box 1073, Lawrence, KS 66044                    | Board Member - Emeritus                 | self         |
| 3. | Oread Neighborhood Association<br>P.O. Box 442065, Lawrence, KS 66044                  | Member of the Board of Directors        | self         |
| 4. | University of Kansas Student Housing Association<br>1046 Tennessee, Lawrence, KS 66044 | Member of the Board of Directors        | self         |
| 5. | First City, LLC<br>1101 Ohio, Lawrence, KS 66044                                       | Partners                                | both         |
| 6. | Ten-Forty-Two Partnership<br>1041 Ohio, Lawrence, KS 66044                             | Partners                                | both         |
| 7. | Rhode Island Watch, LLC<br>1636 Learnard Ave., Lawrence, KS 66044                      | Partners                                | both         |
| 8. | Penn Street Line, LLC<br>933 Pennsylvania, Lawrence, KS 66044                          | Partners                                | both         |

**G. RECEIPT OF FEES AND COMMISSIONS:** List each client or customer who pays fees or commissions to a business or combination of businesses from which fees or commissions you or your spouse received an aggregate of \$2,000 or more in the preceding calendar year. The phrase "client or customer" relates only to businesses or combination of businesses. In the case of a partnership, it is the partner's proportionate share of the business, and hence of the fee, which is significant, without regard to expenses of the partnership. An individual who receives a salary as opposed to portions of fees or commissions is generally not required to report under this provision. Please insert additional page if necessary to complete this section.

If you have nothing to report in Section "G", check here

|    | NAME OF CLIENT / CUSTOMER | ADDRESS | RECEIVED BY |
|----|---------------------------|---------|-------------|
| 1. |                           |         |             |

**H. DECLARATION:** I declare that this statement of substantial interests (including any accompanying pages and statements) has been examined by me and to the best of my knowledge and belief is a true, correct and complete statement of all of my substantial interests and other matters required by law. I understand that the intentional failure to file

this statement as required by law or intentionally filing a false statement is a class B misdemeanor.

Date Electronically Filed: **04/28/2011**

Name of Person Making Statement: **marcia ann francisco**